



PTO/SB/21 (03-03)  
Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/829,245
	Filing Date	04/22/2004
	First Named Inventor	Ingolf Rauh
	Art Unit	2681
	Examiner Name	to be assigned
Total Number of Pages in This Submission	Attorney Docket Number	2002P13537WOUS

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b> 1. Transmittal Form; 2. Fee Transmittal, Form; 3. Request for corrected Filing Receipt; 4. Copy of the filing receipt (PTO first page); 5. Copy of the notice to file missing parts of non-provisional application (PTO); 6. Recordation Form Cover Sheet; 7. Executed Assignment; 8. Executed Declaration; 9. Information Disclosure Statement; 10. Information Disclosure Statement by Applicant (2 pages); 11. Patent Documents; 12. Certified copy of the priority document; 13. Request for priority under 35 USC § 119(a); 14. Cover letter (in duplicate); 15. return postcard.	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual	Jacob Eisenberg, Reg. No. 43,410; Customer No. 28,204 Siemens Schweiz, Intellectual Property Department, I-44, Albsriederstrasse 245, CH-8047, Zurich, Switzerland	
Signature		
Date	July 6, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____		
Typed or printed		
Signature		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# SIEMENS



**VIA DHL**

**United States Patent & Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Application Number  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia USA 22202**

Name	Jacob Eisenberg
Division of activity	Intellectual Property
Department	IP
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Your reference	10/829,245
Our reference	2002P13537WOUS/ERB
Date	July 6, 2004

**Customer No.: 28204**

Re: Utility Patent Application  
Atty. Dock.: 2002P13357WOUS; Serial No.: 10/829,245  
Filed: 04/22/2004; Inventor(s): Ingolf Rauh et al  
*For: "Method and device for reading postal article inscriptions or document inscriptions"*

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b), a copy of which is attached hereto, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Copy of the notice to File Missing Parts of Nonprovisional Application (PTO);
4. Executed Declaration;
5. Recordation Form Cover Sheet;
6. Executed Assignment;
7. Request for Corrected Filing Receipt;
8. Copy of the filing receipt (PTO first page);
9. Certified Copy of the Priority Document;
10. Request for Priority under 35 USC §119(a);
11. Information Disclosure Statement;
12. Information Disclosure Statement by Applicant (2 pages)
13. Patent Documents; and
14. Return Post Card.

**Siemens Switzerland Ltd**

Albisriederstrasse 245  
P.O.B  
CH-8047 Zürich

Main telephone number  
Siemens Switzerland SA  
+41 585 585 585

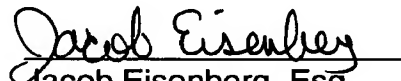
Copied from 10829224 on 10/15/2004

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to **Deposit Account No.: 502464** referencing attorney docket number 2002P13537WOUS. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 07/06/2004

Respectfully Submitted,

  
Jacob Eisenberg, Esq.  
Attorney for Applicant  
Registration No. 43,410

**Enclosures**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,245	04/22/2004	Ingolf Rauh	2002P13137WOUS 53

CONFIRMATION NO. 7266

## FORMALITIES LETTER



\*OC000000013088687\*

28204  
 SIEMENS SCHWEIZ  
 I-44, INTELLECTUAL PROPERTY  
 ALBISRIEDERSTRASSE 245  
 ZURICH, CH-8047  
 SWITZERLAND

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

07/14/2004 FFANAI2 00000051 502464 10829245

01 FC:1051

130.00 DA

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

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*mar*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 170.00

## Complete if Known

Application Number	10/829,245
Filing Date	04/22/2004
First Named Inventor	Ingolf Rauh
Examiner Name	2621
Art Unit	To be assigned
Attorney Docket No.	2002P13537WOUS

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

502464

Siemens Schweiz

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	00.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 00.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	x	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/>	- 3** =	<input type="text"/>	x	<input type="text"/>	= <input type="text"/>
Multiple Dependent						= <input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 00.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	130.00
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 170.00

## SUBMITTED BY

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410	Telephone	+41585583295
Signature	<i>Jacob Eisenberg</i>	Date	07/06/2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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